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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): H. Harris, Gordon R. Clark and Stephen D. Holland

Serial No.: 09/990,739

Filing Date: November 16, 2001

Group Art Unit: 2835

Title: UNIVERSAL SERIAL BUS (USB) INTERFACE FOR MASS STORAGE DEVICE

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ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p), or  
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☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)  
may be material to the examination of this application and for which there may be a duty to disclose in accordance  
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

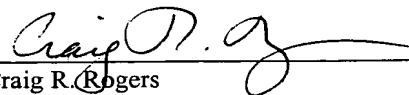
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Respectfully submitted,

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PATENT APPLICATION

Atty. Docket  
Applicant:  
Filing Date:

5087-27  
David H. Harris, Gordon R. Clark and Stephen D. Holland  
November 16, 2001  
Serial No. 09/990,739  
Group: 2835

INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub-</u> <u>Class</u>
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FOREIGN PATENT DOCUMENTS

<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
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OTHER DOCUMENTS

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NEC, NEC USB2.0-ATA/ATAPI Bridge, NEC Press Release October 5, 2000, 2 pages.

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NEC, USB2.0-Compliant IDE Bridge, November 7, 2002, 1 page.

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_